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Docket No. CRD-09

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

cants : Donald F. DePalma et al.

Serial No. : 10/041,116

Art Unit:

Filed

: January 8, 2002

Examiner:

For

: SUPRA-RENAL ANCHORING PROSTHESIS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

April 29, 2002
(Date of Deposit)

Carl J. Evens
(Name of applicant, assignee, or Registered Representative)

(Signature)

April 29, 2002

(Date of Signature)

Commissioner for Patents

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Washington, D.C. 20231

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

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information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☑ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.
☐ In accordance with §1.129(a), this Information

In accordance with g1.123(a), this information
Disclosure Statement is being filed in connection with \Box the
first or second After Final Submission, therefore:
<pre>Statement in Accordance with §1.97(e)</pre>
(attached); or
☐ Please charge Deposit Account No. 10-0750/ /
the fee of $$180.00$ as set forth in $§1.17(p)$.

In accordance with §1.97(c), this Information
Disclosure Statement is being filed after the period set forth
in §1.97(b) above but before the mailing date of either a Final
Action under §1.113 or a Notice of Allowance under §1.311, or an
action that otherwise closes prosecution and that it is
accompanied by one of:

Statement in Accordance with §1.97(e) (attached); or Please charge Deposit Account No. 10-0750/ П the fee of \$180.00 as set forth in \$1.17(p). In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in \$1.17(p). Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith. Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT: In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith. If any of the foregoing publications are not \Box available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request. There are no listed references which are not in the 冈 English language.

The relevance of those listed references which are not in the English language is as follows:
Attached are copies of search report(s) from
corresponding patent application(s), which are listed on the
attached Submission Under MPEP 609 D.
Attached are the following non-published pending
patent applications which may be deemed relevant, which are
listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-0905/CJE. This form is submitted in triplicate.

Respectfully submitted,

Carl J. Evens

Reg. No. 33,874 Attorney for Applicants

Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
(732) 524-2518
DATED: April 29, 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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considered. Include copy of this form with next communication to applicant.

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

U.S. PATENT DOCUMENTS

	Cite No.1	U.S. Patent Documen	it .		Data of Dublication	Barra Caliman Lines
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		4,655,771		Wallsten	04/7/87	·
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	Cite No.	Office ³ KindC			Name of Patentee or Applicant of Cited Document	Cited Document mm-dd-yyyy	relevant passages or relevant figures appear
		EPO	0657147	A2	Robinson, Timothy et al.	10-25-94	
		PCT	8704935	A1	Fischell, Robert E.	08-27-87	
		PCT	9516406	A1	Vorwerk, Dierk	06-22-95	
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		JAP	5524095	Α	Matsumoto Hiroshi	02-20-1980	A

	_ Examiner	Date		
-	Signature	Considered		
	*EXAMINER:	nitial if reference considered, whether or not citation is in conformance with MPEP 609. Dr	raw line through citation if not in conformation	ance and not

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(use as many sheets as necessary) Sheet 2 of 29

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Application Number	10/041,116					
Filing Date	January 8, 2002					
First Named Inventor	Donald F. DePalma et al.					
Group Art Unit						
Examiner Name						
Attorney Docket Number	CRD-0905					

				U.S. PATENT DOCUMENTS		
	Cite	U.S. Patent Doo	cument		Date of Publication	Pages, Columns, Lines,
Examiner Initials		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document mm-dd-yyyy	where relevant passages or relevant figures appear
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Examine r Initials		Foreig	n Patent Docu	ment	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, where	
	Cite No.	Office ³ KindC			Applicant of Cited Document	Cited Document mm-dd-yyyy	relevant passages or rele <u>va</u> nt figures a p pear	T ⁶
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		JAP	60220030	Α	Takebayashi Kazuo	11-02-1985) O (<u> </u>
		JAP	62231657	Α	Julio Palmaz	03-29-1988	Î Î	\equiv
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Examiner	Date	
Signature	Considered	

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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit .	
Examiner Name	
Attorney Docket Number	CRD-0905

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Examiner Initials	1 1		ument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
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Examine r Initials	Cite No.	Foreig	n Patent Doc	ument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, where	
		Office ³ Number ⁴ KindCode ⁵			Applicant of Cited Document	Cited Document Mm-dd-yyyy	relevant passages or relevant figures appear	T ⁶
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Examiner	Date	0 - 0
Signature	Considered	<u> </u>
*EXAMINER: Initial if reference considered, whether or not citation is in conform	mance with MPEP 609. Draw line thro	ough citation if not in conformance and not

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Application Number	10/041,116
	Filing Date	January 8, 2002
DSURE	First Named Inventor	Donald F. DePalma et
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ICANT	Group Art Unit	
	Examiner Name	
,	Attorney Docket Number	CRD-0905
U.S. PATENT DO	CUMENTS	

		U.S. Patent Document				
Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
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	Cite No.	Office ³ Number ⁴ KindCode ⁵			Name of Patentee or Applicant of Cited Document	Cited Document mm-dd-yyyy	relevant passages or relevant figures appear	T ⁶
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Examiner Signature	Date Considered	20	102	D
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Application Number	10/041,116
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				U.S. PATENT DOCUMENTS		
	Cite No.1	U.S. Patent Document			Date of Publication	ion Pages, Columns, Lines,
Examiner Initials		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document mm-dd-yyyy	where relevant passages or relevant figures appear
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Examine r Initials	Cite No.	Foreig	n Patent Docun	nent	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, where	
		Office ³ Number ⁴ KindCode ⁵		Applicant of Cited Document	Cited Document mm-dd-yyyy	relevant passages or relevant figures appear	T ⁶	
-		PCT	9725000	A1	Timothy A. Chuter	07-17-1997	-	
		EPO	734698	A2	Dfe Erfinder haben et al.	10-02-1996		
		EPO	800801	A1	Timothy A. Limon,	10-15-1997	C .	70
		EPO	830853	A1	Jabob Richter	03-25-1998	70	
		PCT	9626689	A1	Brian Brown	09-06-1996	0 M	Ť
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 6 of 29

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Application Number	10/041,116
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				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No. ¹	U.S. Patent Doc	ument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
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-		4,787,899		Lazarus	11-29-88	
		4,822,341		Colone	04-18-89	
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Examine r Initials					Name of Patentee or Applicant of Cited Document	Cited Document mm-dd-yyyy		Т ⁶
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Examiner	Date	, -	<u> </u>)]
Signature	Considered	_		

Dibie Alain

11-08-1996

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Cita to a conceden of information amount	
Application Number	10/041,116
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First Named Inventor	Donald F. DePalma et al.
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Attorney Docket Number	CRD-0905

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		U.S. Patent Doc		Name of Potenton or Applicant	Date of Publication	Pages, Columns, Lines,
Examiner Initials	Cite No. ¹	Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	of Cited Document mm-dd-yyyy	where relevant passages or relevant figures appear
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		5,211,658		Clouse	05-18-93	
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		EPO	0 540 290	А3	Lilip Lau	05-05-1993	က မ	
		UK	1 205 743		Colin Charles Didcott	09-16-1970	3 3	召
		DE	D3205942	A1	Gleich Anmeider	09-08-1983	0 5	H
		FRA	0 566 807	A1	Harold Edgar Yarrow		≥ *	
		GB	0 662 307	A2	Frank B. Dehn	09-01-1948	70	<u>.</u>
		PCT	96/34580	A1	J. J. Martin et al.	11-07-1996	DQ.	

Examiner	Date		
Signature	Considered	· ·	

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ond to a collection of information unless it u	ispiays a valid ONB control number.
Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

U.S. PATENT DOCUMENTS

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No. ¹	1	nd Code ² if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,282,860		Matsuno	02-01-94	
		5,306,294		Winston	04-26-94	
		5,321,109		Bosse	06-14-94	
		5,330,490		Wilk	07-1994	
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		5,380,328		Morgan	01-10-95	
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		5,466,509		Kowligi	11-14-95	

		Foreign Patent Document			Name of Patentas as	Date of Publication of	Pages, Columns, Lines, where	
Examine r Initials	Cite No.	Office ³ KindCo			Name of Patentee or Applicant of Cited Document	Cited Document mm-dd-yyyy	relevant passages or relevant figures appear	T⁵
		PCT	98/19628	A1	Gil M. Vardi	05-14-1998		
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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.1	U.S. Patent Doc	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,468,138		Bosse	11-21-95	
_		5,512,229		Bosse	04-30-96	
		5,522,882		Gaterud	06-04-96	
		5,549,663		Cottone, Jr.	08-27-96	
		5,609,624		Kalis	03-11-97	
		5,628,786		Banas	05-13-97	
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Application Number	10/041,116
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First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

U.S. PATENT DOCUMENTS

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Examiner Initials	Cite		ind Code ²	Name of Patentee or Applicant of Cited Document	of Cited Document	where relevant passages or relevant figures appear
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		5,916,264		Von Oepen	06-29-99	
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		5,980,565		Jayaraman	11-09-99	
		5,360,443		Barone	11-01-94	
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		5,617,878				
		5,824,055				
		6,019,778				
		5,728,131				
		5,720,735				

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

U.S. PATENT DOCUMENTS

		U.S. Patent Document				
Examiner	Cite		Kind Code ²	Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant passages
Initials	No. ¹	Number	(if known)	of Cited Document	mm-dd-yyyy	or relevant figures appear
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		5,797,953		Tekulve	08-25-98	

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		Office ³	Number ⁴	KindCode ⁵	Applicant of Cited Document	mm-dd-yyyy	passages or relevant figures appear	T⁵
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(use as many sheets as necessary) Sheet 13 of 29

Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	ui.
Examiner Name	
Attorney Docket Number	CRD-0905

U.S. PATENT DOCUMENTS

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Examiner Initials		Number	(ind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,782,909		Quiachon , et al.	07-21-98	
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		5,776,180		Goicoechea , et al.	07-07-98	
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Application Number Substitute for form 1449A/PTO 10/041,116 January 8, 2002 Filing Date INFORMATION DISCLOSURE Donald F. DePalma et First Named Inventor STATEMENT BY APPLICANT Group Art Unit (use as many sheets as necessary) Examiner Name Sheet 14 of 29 Attorney Docket Number CRD-0905

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Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,752,966		Chang	05-19-98	
		5,749,921		Lenker , et al.	05-12-98	
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		5,733,328		Fordenbacher	03-03-98	
		5,728,068		Leone , et al.	03-17-98	
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		5,725,570		Heath	03-10-98	
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		5,725,534		Rasmussen	03-10-98	
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Application Number	10/041,116
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First Named Inventor	Donald F. DePalma et al.
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Attorney Docket Number	CRD-0905
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		5,397,345		Lazarus	03-14-95	
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Sheet 16 of 29

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Application Number	10/041,116					
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First Named Inventor	Donald F. DePalma et al.					
Group Art Unit						
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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

				U.S. PATENT DOCUMENTS	ounder training of the	
Examiner Initials	Cite No.1	U.S. Patent Doo	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
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Application Number	10/041,116					
Filing Date	January 8, 2002					
First Named Inventor	Donald F. DePalma et al.					
Group Art Unit						
Examiner Name						
Attorney Docket Number	CRD-0905					

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

02-29-2000

U.S. PATENT DOCUMENTS U.S. Patent Document Date of Publication Pages, Columns, Lines, Name of Patentee or Applicant Kind Code² where relevant passages Examiner Cite of Cited Document of Cited Document or relevant figures appear (if known) Number mm-dd-yyyy No.1 05-09-2000 Taheri 6.059.824 6,059,823 Holman, et al. 05-09-2000 05-09-2000 6,059,821 Anidjar, et al. 05-02-2000 6,056,775 Borghi, et al. 04-18-2000 6,051,020 Goicoechea, et al. 04-11-2000 Ravenscroft, et al. 6,048,356 03-21-2000 6,039,758 Quiachon, et al. 03-14-2000 6,036,725 **Aveilanet** Anidjar, et al. 03-14-2000 6,036,723 **DiCaprio** 03-14-2000 6,036,697 Penn, et al. 03-07-2000 6,033,435

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
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Attorney Docket Number	CRD-0905

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First Named Inventor	Donald F. DePalma et al.				
Group Art Unit					
Examiner Name					
Attorney Docket Number	CRD-0905				

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

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Application Number	10/041,116
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Filing Date

January 8, 2002

First Named Inventor

Donald F. DePalma et al.

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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. s Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/041,116
Filing Date	January 8, 2002
First Named Inventor	Donald F. DePalma et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

4002	\mathcal{Z}_{i}	Sheet 26 of	29		Attorney Doc	ket Number	CRD-0905
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Application Number	10/041,116
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Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0905

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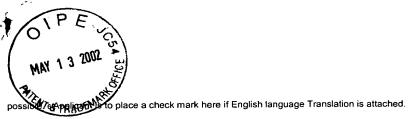
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Application Number

10/041,116

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